

Applicant or Patentee: Kohya Tanaka, Tetsuji Shibata Attorney's Docket No: \_\_\_\_\_

Serial or Patent No.: \_\_\_\_\_

Filed or Issued: \_\_\_\_\_

Title: "Engine Blower"

**STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN**

I hereby state that I am:

- ☐ the owner of the small business concern identified below;  
☐ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: TANAKA KOGYO CO., LTD.

ADDRESS OF CONCERN: 3-4-29, Tsudanuma, Narashino-shi, Chiba, JAPAN

1. I hereby state that the above identified small business concern qualifies as a small business concern, as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with, the small business concern identified above with regard to the above-identified invention described in:

- ☐ the specification filed herewith; or  
☐ the application above identified; or  
☐ the patent above identified.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c), if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention as to their status as small entities. (37 CFR 1.27)

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

NAME OF PERSON SIGNING Kohya Tanaka

TITLE OF PERSON SIGNING (i.e., President, Vice President, etc.): President

ADDRESS OF PERSON SIGNING 5-3-20, Yatsu, Narashino-shi, Chiba, Japan

SIGNATURE Kohya Tanaka DATE Jan. 29, 2001

**APPLICATION FOR UNITED STATES LETTERS PATENT****Declaration and Power of Attorney**

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**"Engine Blower"**  
the specification of which is attached hereto.

I have reviewed and understand the contents of said specification, including the claims.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR §1.56.

I claim priority benefits under 35 USC §119 of: (i) any foreign application(s) for patent or inventor's certificate listed below; or (ii) any United States provisional application(s) listed below; and have also identified below any foreign application(s) for patent or inventor's certificate, or PCT international application having a filing date before that of the application(s) on which priority is claimed.

COUNTRY	APPLICATION NUMBER	DATE (day, month, year)	PRIORITY CLAIMED
Japan	JP-Hei 11-322380	12, 11, 1999	yes____ no <u>X</u>
			yes____ no____
			yes____ no____
			yes____ no____

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I appoint the following attorneys to prosecute this application and to transact all business in the U.S. Patent & Trademark Office connected therewith: Leonard Holtz, Reg. No. 22,974; Herbert Goodman, Reg. No. 17,081; Thomas Langer, Reg. No. 27,264; Marshall J. Chick, Reg. No. 26,853; Richard S. Barth, Reg. No. 28,180; Douglas Holtz, Reg. No. 33,902; and Robert P. Michal, Reg. No. 35,614.

**CORRESPONDENCE AND CALLS TO: FRISHAUF, HOLTZ, GOODMAN, LANGER & CHICK, P.C.**  
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INVENTOR: SIGNATURE		DATE	RESIDENCE AND POST OFFICE ADDRESS
Sign: <i>Kohya Tanaka</i>	Date: <i>1/29/2001</i>		Residence (City & Country): Narashino-shi, Japan Post Office Address: 5-3-20, Yatsu, Narashino-shi, Chiba, Japan
Type: Kohya Tanaka	Citizen of: Japan		
Sign: <i>Tetsuji Shibata</i>	Date: <i>29.1.2001</i>		Residence (City and Country): Yachiyo-shi, Japan Post Office Address: 2218-24-1-192, Yonemoto, Yachiyo-shi, Chiba, Japan
Type: Tetsuji Shibata	Citizen of: Japan		
Sign:	Date:		Residence (City and Country):
Type:	Citizen of:		Post Office Address: